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许愿帖 言于2023.1

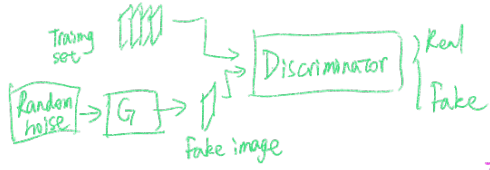
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# Generative Adversarial Nets

## 生成对抗神经网络

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### Abstract

We propose a new framework for estimating generative models via an adversarial process, in which we simultaneously train two models: a generative model  $G$  that captures the data distribution, and a discriminative model  $D$  that estimates the probability that a sample came from the training data rather than  $G$ . The training procedure for  $G$  is to maximize the probability of  $D$  making a mistake. This framework corresponds to a minimax two-player game. In the space of arbitrary functions  $G$  and  $D$ , a unique solution exists, with  $G$  recovering the training data distribution and  $D$  equal to  $\frac{1}{2}$  everywhere. In the case where  $G$  and  $D$  are defined by multilayer perceptrons, the entire system can be trained with backpropagation. There is no need for any Markov chains or unrolled approximate inference networks during either training or generation of samples. Experiments demonstrate the potential of the framework through qualitative and quantitative evaluation of the generated samples.

对抗学习范式  
 生成  
 生成器  $G$   
 判别器  $D$   
 原始数据分布  
 二人极大极小零和博弈  
 无谓马尔科夫链  
 定性 定量  
 展开依次预测每个像素 (PixRNN)

### 1 Introduction

The promise of deep learning is to discover rich, hierarchical models [2] that represent probability distributions over the kinds of data encountered in artificial intelligence applications, such as natural images, audio waveforms containing speech, and symbols in natural language corpora. So far, the most striking successes in deep learning have involved discriminative models, usually those that map a high-dimensional, rich sensory input to a class label [14, 22]. These striking successes have primarily been based on the backpropagation and dropout algorithms, using piecewise linear units [19, 9, 10] which have a particularly well-behaved gradient. Deep generative models have had less of an impact, due to the difficulty of approximating many intractable probabilistic computations that arise in maximum likelihood estimation and related strategies, and due to difficulty of leveraging the benefits of piecewise linear units in the generative context. We propose a new generative model estimation procedure that sidesteps these difficulties.<sup>1</sup>

原景  
 分层的  
 音频波文上  
 形文件  
 语料库  
 用ReLU作激活函数的神经元  
 判别式模型拟合条件类别概率  $P(y|x)$   
 生成式模型拟合联合概率分布  $P(x,y)$

In the proposed adversarial nets framework, the generative model is pitted against an adversary: a discriminative model that learns to determine whether a sample is from the model distribution or the data distribution. The generative model can be thought of as analogous to a team of counterfeiters, trying to produce fake currency and use it without detection, while the discriminative model is analogous to the police, trying to detect the counterfeit currency. Competition in this game drives both teams to improve their methods until the counterfeits are indistinguishable from the genuine articles.

竞争 对手  
 生成 -> 造假  
 判别 -> 警察  
 驱动

\*Jean Pouget-Abadie is visiting Université de Montréal from Ecole Polytechnique.  
 †Sherjil Ozair is visiting Université de Montréal from Indian Institute of Technology Delhi  
 ‡Yoshua Bengio is a CIFAR Senior Fellow.  
<sup>1</sup>All code and hyperparameters available at <http://www.github.com/goodfeli/adversarial>

实验: 随机数 } G (多层感知机)  
 } D (多层感知机)

通用框架

随机数

This framework can yield specific training algorithms for many kinds of model and optimization algorithm. In this article, we explore the special case when the generative model generates samples by passing random noise through a multilayer perceptron, and the discriminative model is also a multilayer perceptron. We refer to this special case as *adversarial nets*. In this case, we can train both models using only the highly successful backpropagation and dropout algorithms [17] and sample from the generative model using only forward propagation. No approximate inference or Markov chains are necessary.

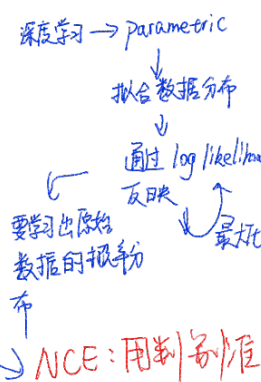
## 2 Related work

An alternative to directed graphical models with latent variables are undirected graphical models with latent variables, such as restricted Boltzmann machines (RBMs) [27, 16], deep Boltzmann machines (DBMs) [26] and their numerous variants. The interactions within such models are represented as the product of unnormalized potential functions, normalized by a global summation/integration over all states of the random variables. This quantity (the *partition function*) and its gradient are intractable for all but the most trivial instances, although they can be estimated by Markov chain Monte Carlo (MCMC) methods. Mixing poses a significant problem for learning algorithms that rely on MCMC [3, 5].

深度学习及生成模型

Deep belief networks (DBNs) [16] are hybrid models containing a single undirected layer and several directed layers. While a fast approximate layer-wise training criterion exists, DBNs incur the computational difficulties associated with both undirected and directed models.

Alternative criteria that do not approximate or bound the log-likelihood have also been proposed, such as score matching [18] and noise-contrastive estimation (NCE) [13]. Both of these require the learned probability density to be analytically specified up to a normalization constant. Note that in many interesting generative models with several layers of latent variables (such as DBNs and DBMs), it is not even possible to derive a tractable unnormalized probability density. Some models such as denoising auto-encoders [30] and contractive autoencoders have learning rules very similar to score matching applied to RBMs. In NCE, as in this work, a discriminative training criterion is employed to fit a generative model. However, rather than fitting a separate discriminative model, the generative model itself is used to discriminate generated data from samples a fixed noise distribution. Because NCE uses a fixed noise distribution, learning slows dramatically after the model has learned even an approximately correct distribution over a small subset of the observed variables.



Finally, some techniques do not involve defining a probability distribution explicitly, but rather train a generative machine to draw samples from the desired distribution. This approach has the advantage that such machines can be designed to be trained by back-propagation. Prominent recent work in this area includes the generative stochastic network (GSN) framework [5], which extends generalized denoising auto-encoders [4]: both can be seen as defining a parameterized Markov chain, i.e., one learns the parameters of a machine that performs one step of a generative Markov chain. Compared to GSNs, the adversarial nets framework does not require a Markov chain for sampling. Because adversarial nets do not require feedback loops during generation, they are better able to leverage piecewise linear units [19, 9, 10], which improve the performance of backpropagation but have problems with unbounded activation when used in a feedback loop. More recent examples of training a generative machine by back-propagating into it include recent work on auto-encoding variational Bayes [20] and stochastic backpropagation [24].

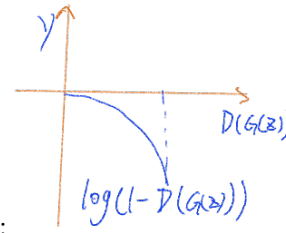
## 3 Adversarial nets

$p_g$ : 生成器生成的假图像服从的概率分布  
 $G(z; \theta_g)$ : 生成器: 输入  $z$  输出假图像  
 $X \sim P_{data}$ : 真实数据服从的概率分布  
 $D(x; \theta_d)$ : 判别器: 输入图像, 输出该图像来自  $X$  的概率.

The adversarial modeling framework is most straightforward to apply when the models are both multilayer perceptrons. To learn the generator's distribution  $p_g$  over data  $x$ , we define a prior on input noise variables  $p_z(z)$ , then represent a mapping to data space as  $G(z; \theta_g)$ , where  $G$  is a differentiable function represented by a multilayer perceptron with parameters  $\theta_g$ . We also define a second multilayer perceptron  $D(x; \theta_d)$  that outputs a single scalar.  $D(x)$  represents the probability that  $x$  came from the data rather than  $p_g$ . We train  $D$  to maximize the probability of assigning the correct label to both training examples and samples from  $G$ . We simultaneously train  $G$  to minimize  $\log(1 - D(G(z)))$ :

$z$ : 随机噪声

$p_z(z)$ : 随机噪声  $z$  服从的概率分布 (1维均匀分布, 1维高斯分布, 2维均匀, 2维高斯... 1维)



In other words,  $D$  and  $G$  play the following two-player minimax game with value function  $V(G, D)$ :

- 1. 给定  $D$ , 找到使  $V$  最小化的  $G$
- 2. 给定  $G$ , 找到使  $V$  最大化的  $D$

$$\min_G \max_D V(D, G) = \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}(\mathbf{x})} [\log D(\mathbf{x})] + \mathbb{E}_{z \sim p_z(z)} [\log(1 - D(G(z)))]. \quad (1)$$

$G(z) = \text{假图}$

In the next section, we present a theoretical analysis of adversarial nets, essentially showing that the training criterion allows one to recover the data generating distribution as  $G$  and  $D$  are given enough capacity, i.e., in the **non-parametric limit**. See Figure 1 for a less formal, more pedagogical explanation of the approach. In practice, we must implement the game using an **iterative, numerical** approach. Optimizing  $D$  to completion in the inner loop of training is **computationally prohibitive**, and on finite datasets would result in overfitting. Instead, we alternate between  $k$  steps of optimizing  $D$  and one step of optimizing  $G$ . This results in  $D$  being maintained near its optimal solution, so long as  $G$  changes slowly enough. This strategy is analogous to the way that SML/PCD [31, 29] training maintains samples from a Markov chain from one learning step to the next in order to avoid burning in a Markov chain as part of the inner loop of learning. The procedure is formally presented in Algorithm 1.

In practice, equation 1 may not provide sufficient gradient for  $G$  to learn well. Early in learning, when  $G$  is poor,  $D$  can reject samples with high confidence because they are clearly different from the training data. In this case,  $\log(1 - D(G(z)))$  saturates. Rather than training  $G$  to minimize  $\log(1 - D(G(z)))$  we can train  $G$  to maximize  $\log D(G(z))$ . This objective function results in the same fixed point of the dynamics of  $G$  and  $D$  but provides much stronger gradients early in learning.

non-parametric limit  
 概率分布拟合能力上限由数据量本身决定而不是由模型参数决定。  
 只要数据量足够大, 性能可以无限好。  
 eg: KNN dp-means 高斯  
 自带先验分布假设的模型:  
 线性回归、逻辑回归、支持向量机

$G(z) = \text{假图}$   
 判别器认为假图是真图的概率。  
 教学示意性  
 迭代地/数值计算优化  
 计算代价高昂

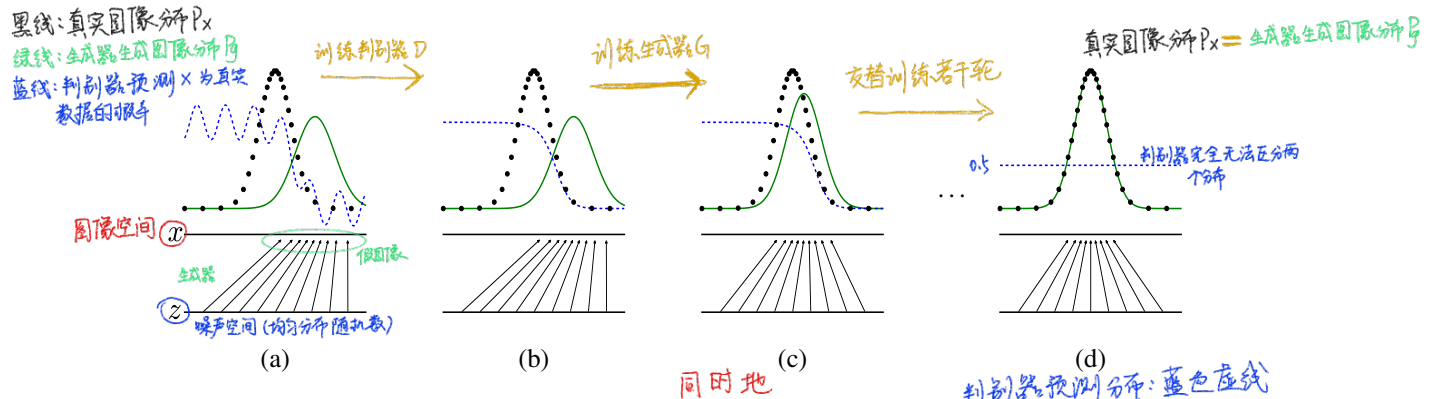


Figure 1: Generative adversarial nets are trained by **simultaneously updating the discriminative distribution** ( $D$ , blue, dashed line) so that it discriminates between samples from the data generating distribution (black, dotted line)  $p_x$  from those of the **generative distribution**  $p_g$  ( $G$ ) (green, solid line). The lower horizontal line is the domain from which  $z$  is sampled, in this case uniformly. The horizontal line above is part of the domain of  $x$ . The upward arrows show how the mapping  $x = G(z)$  imposes the **non-uniform distribution**  $p_g$  on transformed samples.  $G$  contracts in regions of high density and expands in regions of low density of  $p_g$ . (a) Consider an adversarial pair near convergence:  $p_g$  is similar to  $p_{\text{data}}$  and  $D$  is a partially accurate classifier. (b) In the **inner loop** of the algorithm  $D$  is trained to discriminate samples from data, converging to  $D^*(x) = \frac{p_{\text{data}}(x)}{p_{\text{data}}(x) + p_g(x)}$ . (c) After an update to  $G$ , gradient of  $D$  has guided  $G(z)$  to flow to regions that are more likely to be classified as data. (d) After several steps of training, if  $G$  and  $D$  have enough capacity, they will reach a point at which both cannot improve because  $p_g = p_{\text{data}}$ . The discriminator is unable to differentiate between the two distributions, i.e.  $D(x) = \frac{1}{2}$ .

的代码中的内层循环

$p_g$  是非均匀分布

#### 4 Theoretical Results

The generator  $G$  implicitly defines a probability distribution  $p_g$  as the distribution of the samples  $G(z)$  obtained when  $z \sim p_z$ . Therefore, we would like Algorithm 1 to converge to a good estimator of  $p_{\text{data}}$ , if given enough capacity and training time. The results of this section are done in a non-parametric setting, e.g. we represent a model with infinite capacity by studying convergence in the space of probability density functions.

We will show in section 4.1 that this minimax game has a global optimum for  $p_g = p_{\text{data}}$ . We will then show in section 4.2 that Algorithm 1 optimizes Eq 1, thus obtaining the desired result.

期望的定义:  $E_{x \sim p}[f(x)] = \int_x [P(x)f(x)] dx$

**Algorithm 1** Minibatch stochastic gradient descent training of generative adversarial nets. The number of steps to apply to the discriminator,  $k$ , is a hyperparameter. We used  $k = 1$ , the least expensive option, in our experiments.

训练生成对抗网络 GAN 伪代码

for number of training iterations do

for  $k$  steps do

- Sample minibatch of  $m$  noise samples  $\{z^{(1)}, \dots, z^{(m)}\}$  from noise prior  $p_g(z)$ .
- Sample minibatch of  $m$  examples  $\{x^{(1)}, \dots, x^{(m)}\}$  from data generating distribution  $p_{data}(x)$ .
- Update the discriminator by ascending its stochastic gradient:

$$\frac{1}{m} \sum_{i=1}^m [\log D(x^{(i)}) + \log(1 - D(G(z^{(i)})))]$$

求价值函数相对于判别器参数  $\theta_d$  的梯度  $\nabla_{\theta_d}$  梯度优先更新  $\theta_d$

判别器认为  $x^{(i)}$  是真图像的预测概率越大, 越好

判别器认为  $G(z^{(i)})$  是真图像的预测概率越小, 越好

end for

- Sample minibatch of  $m$  noise samples  $\{z^{(1)}, \dots, z^{(m)}\}$  from noise prior  $p_g(z)$ .
- Update the generator by descending its stochastic gradient:

$$\frac{1}{m} \sum_{i=1}^m \log(1 - D(G(z^{(i)})))$$

求价值函数相对于生成器参数  $\theta_g$  的梯度  $\nabla_{\theta_g}$  梯度优先更新  $\theta_g$

判别器认为  $G(z^{(i)})$  是真图像的预测概率越小, 越好

end for

The gradient-based updates can use any standard gradient-based learning rule. We used momentum in our experiments.

训练  $k$  次判别器  
(1次迭代 = 1步 = 1step = 1 mini batch)  
生成器固定

训练 1 次生成器  
判别器固定

- ① 采样  $m$  个噪声 (随机数) 生成  $m$  个假图像  $G(z^{(i)})$   $i=1, 2, 3, \dots, m$
- ② 采样  $m$  个真图像
- ③ 由损失函数求梯度, 更新判别器权重

- ① 采样  $m$  个噪声 (随机数) 生成  $m$  个假图像  $G(z^{(i)})$
- ② 由于损失函数和梯度更新生成器权重

#### 4.1 Global Optimality of $p_g = p_{data}$

We first consider the optimal discriminator  $D$  for any given generator  $G$ .

**Proposition 1.** For  $G$  fixed, the optimal discriminator  $D$  is

命题 1 给定  $G$  优化  $D$

$$D_G^*(x) = \frac{p_{data}(x)}{p_{data}(x) + p_g(x)} \quad (2)$$

*Proof.* The training criterion for the discriminator  $D$ , given any generator  $G$ , is to maximize the quantity  $V(G, D)$

$$V(G, D) = \int_x p_{data}(x) \log(D(x)) dx + \int_z p_z(z) \log(1 - D(G(z))) dz$$

$$= \int_x p_{data}(x) \log(D(x)) + p_g(x) \log(1 - D(x)) dx \quad (3)$$

期望的积分记号形式 (均值, 期望, 积分为同一含义)

这个公式乍一看很简单但其实很玄奥  
输入任-图像  $x$ , 计算最优判别器认为它是真实数据的概率  
 $x$  既像真实图像  $p_{data}(x) > 0$  又像生成的假图像  $p_g(x) > 0$   
但具体等于多少 只有上帝知道 是下页积分公式中的概率 无法显式计算

For any  $(a, b) \in \mathbb{R}^2 \setminus \{0, 0\}$ , the function  $y \rightarrow a \log(y) + b \log(1 - y)$  achieves its maximum in  $[0, 1]$  at  $\frac{a}{a+b}$ . The discriminator does not need to be defined outside of  $Supp(p_{data}) \cup Supp(p_g)$ , concluding the proof.  $\square$

判别式模型拟合条件概率分布

Note that the training objective for  $D$  can be interpreted as maximizing the log-likelihood for estimating the conditional probability  $P(Y = y|x)$ , where  $Y$  indicates whether  $x$  comes from  $p_{data}$  (with  $y = 1$ ) or from  $p_g$  (with  $y = 0$ ). The minimax game in Eq. 1 can now be reformulated as:

对数似然 概率

$a, b$  为常数,  $y$  为自变量  
 $f(y) = a \log(y) + b \log(1-y)$   
 $f'(y) = \frac{a}{y} - \frac{b}{1-y} = 0 \Rightarrow y = \frac{a}{a+b}$   
若  $a+b \neq 0$  且  $a, b \in (0, 1)$   
 $f''(\frac{a}{a+b}) = -\frac{a}{(\frac{a}{a+b})^2} - \frac{b}{(1-\frac{a}{a+b})^2} < 0$   
一阶导数为 0, 二阶导数为负  $y$  取得最大值 凸函数

$$C(G) = \max_D V(G, D) \rightarrow D \text{ 取最优时, 训练 } G \text{ 使 } D \text{ 的价值函数最小化}$$

$$= \mathbb{E}_{x \sim p_{data}} [\log D_G^*(x)] + \mathbb{E}_{z \sim p_z} [\log(1 - D_G^*(G(z)))] \quad (4)$$

$$= \mathbb{E}_{x \sim p_{data}} [\log D_G^*(x)] + \mathbb{E}_{x \sim p_g} [\log(1 - D_G^*(x))] \quad (\text{这两 } x \text{ 服从的分布不同, 各个代表其分布的随机变量})$$

$$= \mathbb{E}_{x \sim p_{data}} \left[ \log \frac{p_{data}(x)}{p_{data}(x) + p_g(x)} \right] + \mathbb{E}_{x \sim p_g} \left[ \log \frac{p_g(x)}{p_{data}(x) + p_g(x)} \right]$$

**Theorem 1.** The global minimum of the virtual training criterion  $C(G)$  is achieved if and only if  $p_g = p_{data}$ . At that point,  $C(G)$  achieves the value  $-\log 4$ .

当且仅当  $p_g = p_{data}$  时

生成器价值函数  $C(G)$  取得全局最小值  $-\log 4$

*Proof.* For  $p_g = p_{data}$ ,  $D_G^*(x) = \frac{1}{2}$ , (consider Eq. 2). Hence, by inspecting Eq. 4 at  $D_G^*(x) = \frac{1}{2}$ , we find  $C(G) = \log \frac{1}{2} + \log \frac{1}{2} = -\log 4$ . To see that this is the best possible value of  $C(G)$ , reached only for  $p_g = p_{data}$ , observe that

$$\mathbb{E}_{x \sim p_{data}} [-\log 2] + \mathbb{E}_{x \sim p_g} [-\log 2] = -\log 4$$

and that by subtracting this expression from  $C(G) = V(D_G^*, G)$ , we obtain:

$$C(G) = -\log(4) + KL\left(p_{data} \parallel \frac{p_{data} + p_g}{2}\right) + KL\left(p_g \parallel \frac{p_{data} + p_g}{2}\right)$$

where KL is the Kullback–Leibler divergence. We recognize in the previous expression the Jensen–Shannon divergence between the model’s distribution and the data generating process:

$$C(G) = -\log(4) + 2 \cdot JSD(p_{data} \parallel p_g)$$

Since the Jensen–Shannon divergence between two distributions is always non-negative and zero only when they are equal, we have shown that  $C^* = -\log(4)$  is the global minimum of  $C(G)$  and that the only solution is  $p_g = p_{data}$ , i.e., the generative model perfectly replicating the data generating process.  $\square$

## 4.2 Convergence of Algorithm 1

收敛性证明

**Proposition 2.** If  $G$  and  $D$  have enough capacity, and at each step of Algorithm 1, the discriminator is allowed to reach its optimum given  $G$ , and  $p_g$  is updated so as to improve the criterion

$$\mathbb{E}_{x \sim p_{data}} [\log D_G^*(x)] + \mathbb{E}_{x \sim p_g} [\log(1 - D_G^*(x))]$$

then  $p_g$  converges to  $p_{data}$

*Proof.* Consider  $V(G, D) = U(p_g, D)$  as a function of  $p_g$  as done in the above criterion. Note that  $U(p_g, D)$  is convex in  $p_g$ . The subderivatives of a supremum of convex functions include the derivative of the function at the point where the maximum is attained. In other words, if  $f(x) = \sup_{\alpha \in \mathcal{A}} f_\alpha(x)$  and  $f_\alpha(x)$  is convex in  $x$  for every  $\alpha$ , then  $\partial f_\beta(x) \in \partial f$  if  $\beta = \arg \sup_{\alpha \in \mathcal{A}} f_\alpha(x)$ . This is equivalent to computing a gradient descent update for  $p_g$  at the optimal  $D$  given the corresponding  $G$ .  $\sup_D U(p_g, D)$  is convex in  $p_g$  with a unique global optima as proven in Thm 1, therefore with sufficiently small updates of  $p_g$ ,  $p_g$  converges to  $p_x$ , concluding the proof.  $\square$

In practice, adversarial nets represent a limited family of  $p_g$  distributions via the function  $G(z; \theta_g)$ , and we optimize  $\theta_g$  rather than  $p_g$  itself. Using a multilayer perceptron to define  $G$  introduces multiple critical points in parameter space. However, the excellent performance of multilayer perceptrons in practice suggests that they are a reasonable model to use despite their lack of theoretical guarantees.

## 5 Experiments

We trained adversarial nets on a range of datasets including MNIST[23], the Toronto Face Database (TFD) [28], and CIFAR-10 [21]. The generator nets used a mixture of rectifier linear activations [19, 9] and sigmoid activations, while the discriminator net used maxout [10] activations. Dropout [17] was applied in training the discriminator net. While our theoretical framework permits the use of dropout and other noise at intermediate layers of the generator, we used noise as the input to only the bottommost layer of the generator network.

We estimate probability of the test set data under  $p_g$  by fitting a Gaussian Parzen window to the samples generated with  $G$  and reporting the log-likelihood under this distribution. The  $\sigma$  parameter

KL散度:  
 $KL(P||Q) = -\mathbb{E}_{x \sim P} [\ln \frac{P(x)}{Q(x)}]$

KL散度:  
 $KL(P||Q) = -\mathbb{E}_{x \sim P} [\ln \frac{P(x)}{Q(x)}]$   
 证明完毕~

JS散度非负 (6)

在函数空间做梯度下降  
 凸函数的上确界的次导数包含了  
 函数取最大值点的导数  
 凸函数的上确界还是凸函数  
 在判别器最优时  
 优化价值函数相当于优化G  
 且是凸函数, 肯定能收敛

基本概念补充 (凸分析)  
 凹函数 = 所有数值的非凸  
 凸函数 = 所有数值的非凹  
 凸函数 convex function  
 局部最大值 等于全局最大值  
 上确界 supremum  
 一个集合的最小上界, 与最大值类似  
 次导数 subderivatives  
 作一条通过点  $(x, f(x))$  的直线  
 这条直线要么接触于, 要么在它的下方  
 则这条直线称为  $f$  的次导数  
 次梯度优化算法 subgradient method  
 用次导数代替梯度, 进行梯度下降优化  
 在凹函数上同样可以收敛

理论上这个证明可能不完备, 但是能用?

| Model            | MNIST          | TFD              |
|------------------|----------------|------------------|
| DBN [3]          | 138 ± 2        | 1909 ± 66        |
| Stacked CAE [3]  | 121 ± 1.6      | <b>2110 ± 50</b> |
| Deep GSN [6]     | 214 ± 1.1      | 1890 ± 29        |
| Adversarial nets | <b>225 ± 2</b> | <b>2057 ± 26</b> |

Parzen窗 非参数统计 平滑后估计法

交叉验证

Table 1: Parzen window-based log-likelihood estimates. The reported numbers on MNIST are the mean log-likelihood of samples on test set, with the standard error of the mean computed across examples. On TFD, we computed the standard error across folds of the dataset, with a different  $\sigma$  chosen using the validation set of each fold. On TFD,  $\sigma$  was cross validated on each fold and mean log-likelihood on each fold were computed. For MNIST we compare against other models of the real-valued (rather than binary) version of dataset.

实数

of the Gaussians was obtained by cross validation on the validation set. This procedure was introduced in Breuleux *et al.* [8] and used for various generative models for which the exact likelihood is not tractable [25, 3, 5]. Results are reported in Table 1. This method of estimating the likelihood has somewhat high variance and does not perform well in high dimensional spaces but it is the best method available to our knowledge. Advances in generative models that can sample but not estimate likelihood directly motivate further research into how to evaluate such models.

In Figures 2 and 3 we show samples drawn from the generator net after training. While we make no claim that these samples are better than samples generated by existing methods, we believe that these samples are at least competitive with the better generative models in the literature and highlight the potential of the adversarial framework.

和这一列最接近的图 ← 虽然结果来看还没有其它模型好,但是潜力大

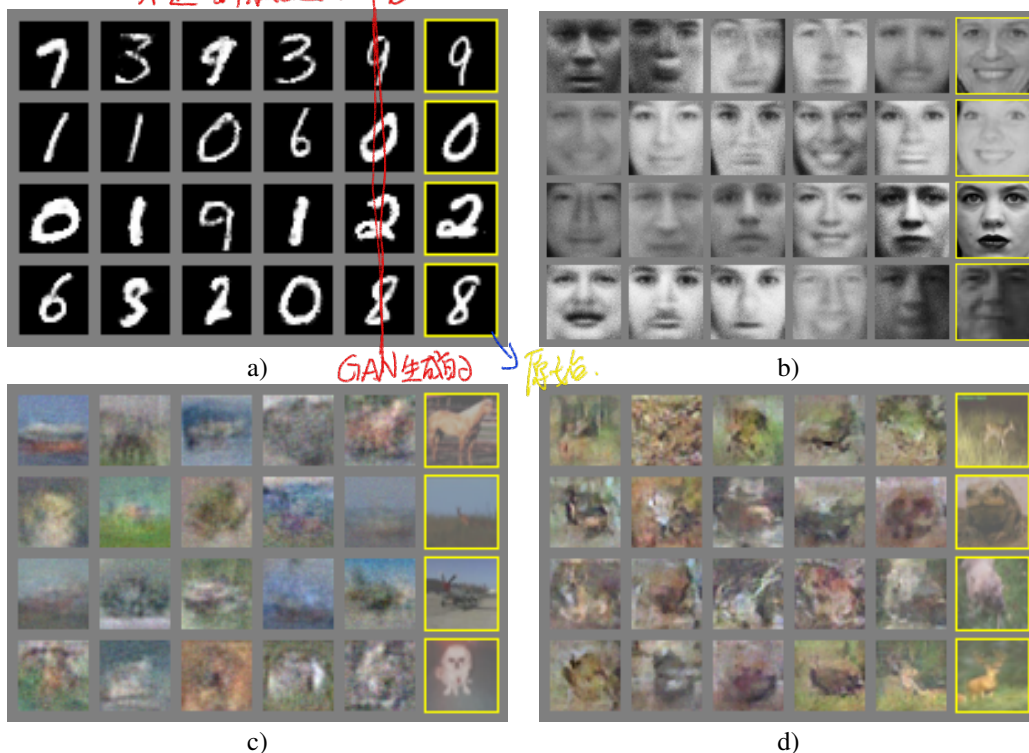


Figure 2: Visualization of samples from the model. Rightmost column shows the nearest training example of the neighboring sample, in order to demonstrate that the model has not memorized the training set. Samples are fair random draws, not cherry-picked. Unlike most other visualizations of deep generative models, these images show actual samples from the model distributions, not conditional means given samples of hidden units. Moreover, these samples are uncorrelated because the sampling process does not depend on Markov chain mixing. a) MNIST b) TFD c) CIFAR-10 (fully connected model) d) CIFAR-10 (convolutional discriminator and “deconvolutional” generator)



Figure 3: Digits obtained by linearly interpolating between coordinates in  $z$  space of the full model.

|                   | Deep directed graphical models             | Deep undirected graphical models  | Generative autoencoders  | Adversarial models   |
|-------------------|--|---|--|--|
| Training          | Inference needed during training.          | Inference needed during training. MCMC needed to approximate partition function gradient. | Enforced tradeoff between mixing and power of reconstruction generation        | Synchronizing the discriminator with the generator. Helvetica. <span style="color:red">模式崩溃</span> |
| Inference         | Learned approximate inference              | Variational inference   | MCMC-based inference   | Learned approximate inference  |
| Sampling          | No difficulties                            | Requires Markov chain   | Requires Markov chain  | No difficulties  |
| Evaluating $p(x)$ | Intractable, may be approximated with AIS  | Intractable, may be approximated with AIS   | Not explicitly represented, may be approximated with Parzen density estimation | Not explicitly represented, may be approximated with Parzen density estimation                     |
| Model design      | Nearly all models incur extreme difficulty | Careful design needed to ensure multiple properties                                       | Any differentiable function is theoretically permitted                         | Any differentiable function is theoretically permitted <span style="color:red">可微分函数</span>        |

Table 2: Challenges in generative modeling: a summary of the difficulties encountered by different approaches to deep generative modeling for each of the major operations involving a model.

## 6 Advantages and disadvantages

This new framework comes with advantages and disadvantages relative to previous modeling frameworks. The disadvantages are primarily that there is **no explicit representation of  $p_g(x)$** , and that  $D$  must be **synchronized well with  $G$  during training** (in particular,  $G$  must not be trained too much without updating  $D$ , in order to avoid "the Helvetica scenario" in which  $G$  collapses too many values of  $z$  to the same value of  $x$  to have enough diversity to model  $p_{data}$ ), much as the negative chains of a Boltzmann machine must be kept up to date between learning steps. The advantages are that Markov chains are never needed, only backprop is used to obtain gradients, **no inference is needed during learning**, and a wide variety of functions can be incorporated into the model. Table 2 summarizes the comparison of generative adversarial nets with other generative modeling approaches.

The aforementioned advantages are primarily computational. Adversarial models may also gain some statistical advantage from the generator network not being updated directly with data examples, but only with gradients flowing through the discriminator. This means that components of the input are not copied directly into the generator's parameters. Another advantage of adversarial networks is that they can represent very sharp, even degenerate distributions, while methods based on Markov chains require that the distribution be somewhat blurry in order for the chains to be able to mix between modes.

## 7 Conclusions and future work 应用前景

This framework admits many straightforward extensions:

1. A **conditional generative model  $p(x | c)$**  can be obtained by adding  $c$  as input to both  $G$  and  $D$ .
2. **Learned approximate inference** can be performed by training an auxiliary network to predict  $z$  given  $x$ . This is similar to the inference net trained by the wake-sleep algorithm [15] but with the advantage that the inference net may be trained for a fixed generator net after the generator net has finished training.

没  
当判别器判别出假图，  
生成器就开摆，不再创新优化了，调参都没法调

优点：1. 不用再用前文去推后文了，直接端到端地用神经网络去训练  
2. 间接的学习 避免了过拟合。  
还可以使得 GAN 可以表示尖锐退化后的分布

↑ 缺点：没能表示生成器生成的样本分布，且必须和判别器共同训练

↓ 否则会出现模式崩溃问题 (不管给生成器什么样的噪声，它总生成一样的图像)

输入  $x$  预测  $y$  (图像编辑)

半监督学习

3. One can approximately model all conditionals  $p(x_S | x_{\bar{S}})$  where  $S$  is a subset of the indices of  $x$  by training a family of conditional models that share parameters. Essentially, one can use adversarial nets to implement a stochastic extension of the deterministic MP-DBM [11].
  4. *Semi-supervised learning*: features from the discriminator or inference net could improve performance of classifiers when limited labeled data is available. 用于标注比较少的情况
  5. *Efficiency improvements*: training could be accelerated greatly by devising better methods for coordinating  $G$  and  $D$  or determining better distributions to sample  $z$  from during training.  
现在这个不容易收敛了, 改进损失函数, 训练技巧, 优化器, 交替训练的范式?
- This paper has demonstrated the viability of the adversarial modeling framework, suggesting that these research directions could prove useful.

图像填充

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